



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hirofumi ENDO et al.

Group Art Unit : 1652

Appl. No. : 09/869,334

Examiner : Delia M. RAMIREZ

Filed : September 26, 2001

Confirmation No. : 5711

For : PROCESS FOR PRODUCING HMG-CoA REDUCTASE
INHIBITOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Window, Mail Stop AMENDMENT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and
§§1.97-1.98, Applicants hereby call to the Examiner's attention the following
documents:

U.S. Patent Application No. 09/868,924 to HASHIMOTO et al., which was
filed on October 31, 2001;

U.S. Patent No. 5,942,423;

International Patent Application Publication No. WO 99/60151;

T. OKAZAKI et al., The Journal of Antibiotics, Vol. 36, No. 9, September
1983, pp. 1176-1183;

ATCC Website, downloaded from

<http://www.atcc.org/SearchCatalogs/Bacteria.cfm>;

International Patent Application Publication No. WO 99/07872, together with patent family member U.S. Patent No. 6,245,535;

International Patent Application Publication No. WO 99/10499;

N. SERIZAWA, Biotechnology Annual Review, Vol. 2, 1996, pp. 373-389;

U.S. Patent No. 4,537,859;

U.S. Patent No. 6,043,064; and

International Patent Application Publication No. WO 00/44886, together with patent family member European Patent Application Publication No. EP 1 148 122.

Further to 37 C.F.R. §1.98 (a)(2)(ii), copies of the U.S. patents are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents and journal articles are attached hereto and all of the documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Further, Applicants note that since this Supplemental Information Disclosure Statement is being submitted subsequent to the issuance of an Office Action on the merits, Applicants have included herewith a check in the amount of \$180.00 to cover the fee set forth in 37 C.F.R. §1.17 (p). However, the Commissioner is hereby authorized to charge payment of any necessary

additional fees associated with this communication or credit any overpayment to
Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to
contact the undersigned at the below-listed telephone number.

Respectfully Submitted,
Hirofumi ENDO et al.

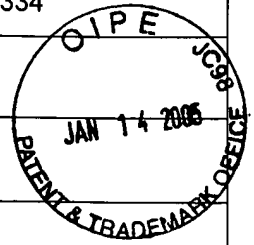
A handwritten signature in black ink, appearing to read "Stephen M. Roylance", is written over a horizontal line.

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January 14, 2005
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FORM PTO-1449

U.S. Department of Commerce
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09/869,334INFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)Applicant
Hirofumi ENDO et al.Filing Date
September 26, 2001Group
1652

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	9	4	2	4	2	3	08/24/99	DEMAIN et al.			
		6	2	4	5	5	3	5	06/12/01	TAKANO et al.			
		4	5	3	7	8	5	9	08/27/85	TERAHARA et al.			
		6	0	4	3	0	6	4	03/28/00	DAVIS et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	99	/		6	0	1	5	1	11/25/99	W.I.P.O				
	99	/		0	7	8	7	2	02/18/99	W.I.P.O				
	99	/		1	0	4	9	9	03/04/99	W.I.P.O				
	00	/		4	4	8	8	6	08/03/00	W.I.P.O				
		1	1	4	8	1	2	2	10/24/01	E.P.O				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	U.S. Patent Application No. 09/868,924 to HASHIMOTO et al.
	2	T. OKAZAKI et al., The Journal of Antibiotics, Vol. 36, No. 9, September 1983, pp. 1176-1183.
	3	ATCC Website, downloaded from http://www.atcc.org/SearchCatalogs/Bacteria.cfm .
	4	N. SERIZAWA, Biotechnology Annual Review, Vol. 2, 1996, pp. 373-389.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.